

**DSMB Report Template**  
***-Closed Session-***  
**For Single-Site Studies**

# Title Page

(Title of Study, PI)

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**\* Please note that the tables are numbered based on the corresponding Open session tables for consistency. Only tables that are applicable to Closed session need to be included here. The final format of the reports, tables, and listings are to be determined by the Data and Safety Monitoring Board.**

# Closed Session Report Summary

# **Study Administration**

## **Recruitment and Participant Status:**

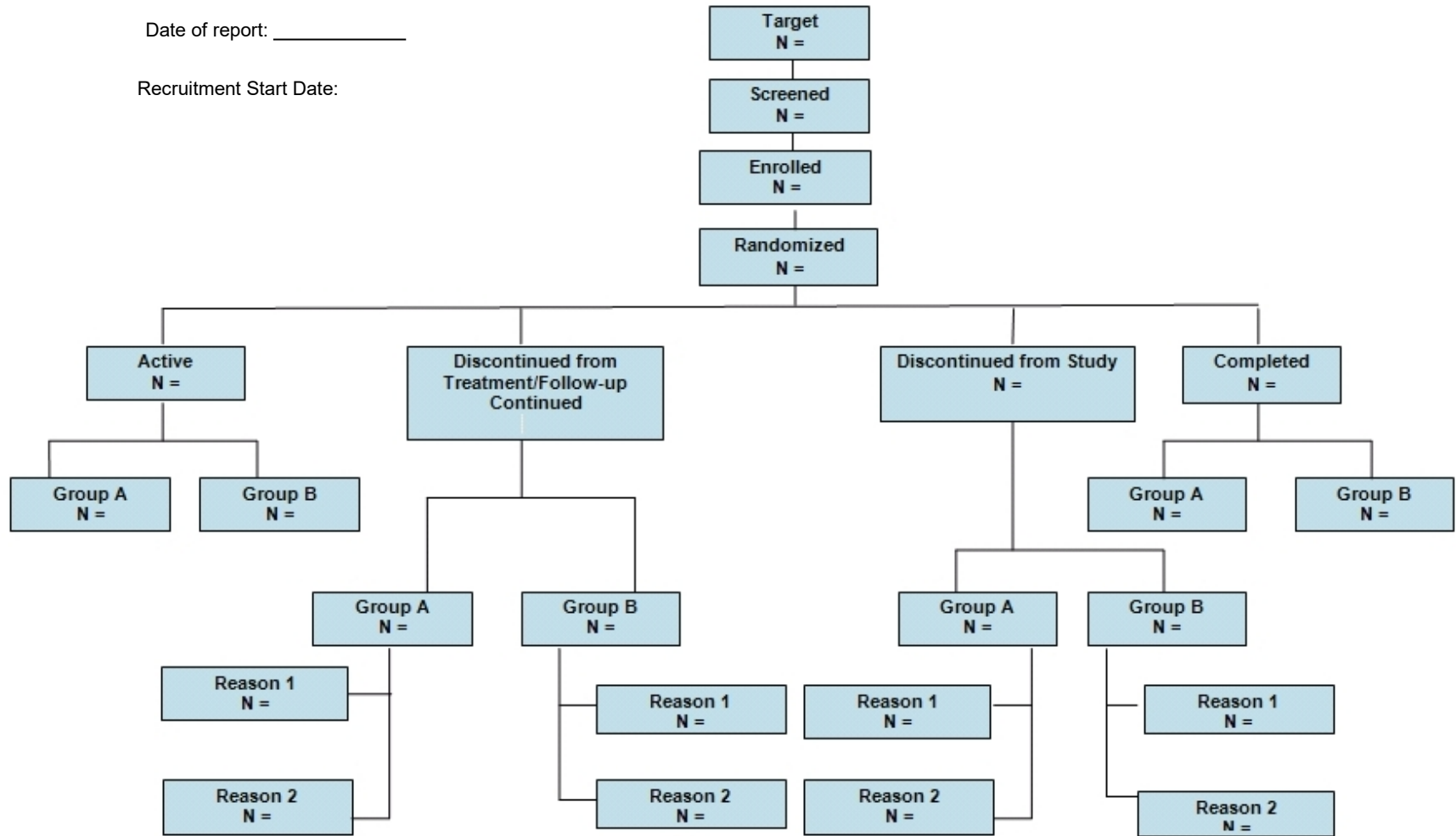
### **Figure and Tables**

**Figure 1: Overall Study Status by Treatment Group**

Data as of: \_\_\_\_\_

Date of report: \_\_\_\_\_

Recruitment Start Date: \_\_\_\_\_



**Study Name:**

**Principal Investigator:**

**Table 4: Demographic and Key Baseline Characteristics by Group**

Data as of: \_\_\_\_\_

Date of report: \_\_\_\_\_

Characteristics		Group A n (%)	Group B n (%)	Total N
<b>Total Enrolled:</b>				
<b>Gender</b>	Male			
	Female			
<b>Ethnicity</b>	Hispanic or Latino			
	Not Hispanic or Latino			
	Unknown or not reported			
<b>Race</b>	American Indian/Alaska Native			
	Asian			
	Black or African American			
	Native Hawaiian or Other Pacific Islander			
	White			
	More than one race			
	Unknown or not reported			
<b>Clinical Features/ Stratification</b>	BMI $\geq$ 30*			
<b>Age</b>	Mean			
	Median			
	Standard Deviation			
	Minimum			
	Maximum			

\* This is an example, needs to be protocol specific.

**Study Name:**

**Principal Investigator:**

**Table 5: Treatment Duration for All Participants**

Data as of: \_\_\_\_\_

Date of report: \_\_\_\_\_

<b>Time in Study*</b> <b>Total N=</b>	<b>Group A n</b>	<b>Group A %</b>	<b>Group B n</b>	<b>Group B %</b>	<b>Total</b>
<b>Visit 1</b>					
<b>Visit 2</b>					
<b>Visit 3</b>					
<b>Visit 4</b>					
<b>Completed Study</b>					

*\* Needs to be protocol specific and can be shown by visits, days, weeks, months, or treatment periods. Final format is determined by DSMB.*



# **Safety Assessments: Tables and Listings**

**Study Name:**

**Principal Investigator:**

**Table 6: Incidence of Adverse Events by Body System, Preferred Term and Treatment Group**

Data as of: \_\_\_\_\_

Date of report: \_\_\_\_\_

<b>Body System and Preferred Term</b>	<b>Group A N=n*</b>	<b>Group A N=%**</b>	<b>Group A N=Events***</b>	<b>Group B N=n*</b>	<b>Group B N=%**</b>	<b>Group B N=Events***</b>
<b>Overall</b>						
<b>Cardiovascular</b>						
Myocardial Infarction						
Increased Blood Pressure						
etc.						
<b>Genitourinary</b>						
Yeast Infection						
Vaginal Bleeding						
etc.						
<b>Gastrointestinal</b>						
<b>etc....</b>						

\* *Number of participants experiencing an adverse event (participant is to be counted only once for each adverse event) in a treatment group*

\*\* *% of total number of participants in the study*

\*\*\* *Number of events*

*This table can present overall incidence of adverse events as shown above; or adverse events related to the intervention as judged by the investigator; or treatment emergent events.*

**Table 7: Severity of Adverse Events by Preferred Term and Treatment Group**

**Study Name:**

**Principal Investigator:**

Data as of: \_\_\_\_\_

Date of report: \_\_\_\_\_

<b>Preferred Term*</b>	<b>Group A N=Mild n** (%)***</b>	<b>Group A N=Moderate n (%)</b>	<b>Group A N=Severe n (%)</b>	<b>Group B N=Mild n** (%)***</b>	<b>Group B N=Moderate n (%)</b>	<b>Group B N=Severe n (%)</b>
Headache						
Pain						
etc.						

\* For each preferred term, sort by most common event in descending order of incidence.

\*\* Number of participants experiencing a certain severity of an adverse event where participant is counted only once at highest level of severity for the event.

\*\*\* % of participants experiencing a certain severity of an adverse event within Treatment Group.

*This table can present severity of all adverse events sorted by preferred term in descending order of incidence as shown above; or adverse events related to the intervention as judged by the investigator; or treatment emergent events.*

**Study Name:**

**Principal Investigator:**

**Listing 1: Serious Adverse Events by Treatment Group**

Data as of: \_\_\_\_\_

Date of report: \_\_\_\_\_

Treatment Group	Participant ID	Onset Date	Stop Date	Expected (Y/N)	Relationship to Intervention* (Y/N)	Outcome**	Description of SAE

\* *Definite, Possible, Not Related*

\*\* *Outcome:*

*Recovered, without treatment*

*Recovered, with treatment*

*Still Present, no treatment*

*Still Present, being treated*

*Residual effect(s) present – no treatment*

*Residual effect(s) present- being treated*

*Subject died*

**Study Name:**

**Principal Investigator:**

**Listing 2: Deaths by Treatment Group**

Data as of: \_\_\_\_\_

Date of report: \_\_\_\_\_

Treatment Group	Participant ID	Date of Death	Cause of Death	Relationship to Intervention*

\* *Definite, Possible, Not Related*

**Study Name:**

**Principal Investigator:**

**Listing 3: Adverse Events by Treatment Group\***

Data as of: \_\_\_\_\_

Date of report: \_\_\_\_\_

Treatment Group	Participant ID	Days on Intervention	Preferred Term	Relationship to Intervention**	Severity	Serious (Y/N)	Outcome***

\* This listing can be sorted by Treatment Group or by Preferred Term.

\*\* Definite, Possible, Not Related

\*\*\* Outcome:

*Recovered, without treatment*

*Recovered, with treatment*

*Still Present, no treatment*

*Still Present, being treated*

*Residual effect(s) present – no treatment*

*Residual effect(s) present- being treated*

*Subject died*

**Study Name:**

**Principal Investigator:**

**Table 8a: Laboratory Test Results Summary Treatment Group A\***

Data as of: \_\_\_\_\_

Date of report: \_\_\_\_\_

-----Change from Baseline-----

Laboratory Test	Sample Study Visits	N	Mean	SD	Min	Median	Max	N	Mean	SD	Min	Median	Max
<b>Test 1</b>	Screening												
	6 Months												
	12 Months												
	24 Months												
	36 Months												
<b>Test 2</b>	Screening												
	6 Months												
	12 Months												
	24 Months												
	36 Months												
<b>Etc...</b>	Screening												
	6 Months												
	12 Months												
	24 Months												
	36 Months												

\* Table can include lab test results that are clinically significant, as defined by the protocol, or ALL lab test results.  
Final format is determined by the DSMB

**Study Name:**

**Principal Investigator:**

**Table 8b: Laboratory Test Results Summary Treatment Group B\***

Data as of: \_\_\_\_\_

Date of report: \_\_\_\_\_

Laboratory Test	Sample Study Visits	N	Mean	SD	Min	Median	Max	-----Change from Baseline-----						
								N	Mean	SD	Min	Median	Max	
<b>Test 1</b>	Screening													
	6 Months													
	12 Months													
	24 Months													
	36 Months													
<b>Test 2</b>	Screening													
	6 Months													
	12 Months													
	24 Months													
	36 Months													
<b>Etc...</b>	Screening													
	6 Months													
	12 Months													
	24 Months													
	36 Months													

\* Table may include lab test results that are clinically significant, as defined by the protocol, or ALL lab test results.  
Final format is determined by the DSMB



**Study Name:**

**Principal Investigator:**

**Listing 4: Clinically Significant Abnormal Lab Values**

Data as of: \_\_\_\_\_

Date of report: \_\_\_\_\_

Treatment Group	Participant ID	Visit	Age	Gender	Lab Panel	Lab Test	Result